



Ethics in Finance

Department of Economics & Finance



Description

The Department of Economics and Finance currently offers a specialized program in finance at a high-quality academic and professional level to prepare for highly qualified financial professions to be in line with the requirements of the stage within the framework of Vision 2030, which requires the availability of national competencies capable of filling specific jobs within the various economic and financial sectors, to contribute to the process. Sustainable development and meeting the needs of the labor market in many sectors, including: (investment banking services, asset management, mergers and acquisitions, private equity, corporate finance consulting, wealth management, project creation...) and for activities with a strong financial dimension present in many sectors. Companies. The program study plan is developed to be compliant to the requirements of (Chartered Financial Analyst (CFA) designation exam and to the specialized academic standards issued by the Education and Training Education Commission (ETEC).

Ethics in Finance Program

Introduction

Ethical practices are the bedrock of the finance industry, fostering public trust, mitigating risks, and driving sustainable growth. The University of Ha'il's finance program prioritizes instilling robust ethical principles in our students, enabling them to navigate complex financial situations with integrity and professionalism.

Core Ethical Principles

Honesty and Transparency: Accurate and complete disclosure of financial information, avoiding misleading representations or omissions.

Fiduciary Responsibilities: Acting in the best interests of clients, investors, and stakeholders, prioritizing their welfare over personal gain.

Avoidance of Conflicts of Interest: Identifying, disclosing, and managing potential conflicts to maintain objectivity and independence.

Confidentiality and Data Privacy: Safeguarding sensitive financial information and ensuring compliance with data protection regulations.

Fair Dealing and Fair Treatment: Providing equal opportunity, preventing discrimination, and fostering an inclusive financial services environment.

Program Mission, Goals, and PLOs

Program Mission

Prepare distinguished graduates qualified with essential knowledge, skills, and values to work in the field of finance, produce cutting-edge financial and scientific research, and provide financial consulting and services to the community that align with national priorities, optimal resources, and innovative technology.

Program Goals

1. Prepare highly competitive graduates in the financial labor market.
2. Conduct innovative research that keeps pace with national research priorities and international trends in finance.
3. Contribute to financial awareness and to solving the problems of the financial and business community with contemporary technological solutions.
4. Develop professional and ethical behavior to ensure a successful career in the field of finance.

Program Learning Outcomes

Knowledge and Understanding

K1: Demonstrate a thorough understanding of business and contemporary financial concepts, principles, and theories (KLO1)

K2: Record in-depth knowledge and comprehension of processes, techniques, and practices in business and finance, specifically.

K3: State a wide range of specialized knowledge and understanding based on recent developments in the field of Finance.

Skills

S1: Practice integrated theories, principles, and concepts in various contexts related to the business and finance field.

S2: Use critical and creative thinking and problem-solving to perform tasks and formulate and analyze business problems, particularly those that span across various financial settings. (KLO5).

S3: Apply essential and emerging technologies to solve different business and financial problems. (KLO4)

S4: Select mathematical operations and quantitative methods to process data and information in various complex contexts useful for decision-making in the field of finance.

S5: Develop interpersonal and communication skills to effectively communicate business and financial solutions through both verbal and written means. (KLO3).

Values

V1: Identify ethical dilemmas encountered in business, in general, and in the finance environment in particular. (KLO6)

V2: Demonstrate the ability to work independently and as part of a team, utilizing business and financial knowledge and tools to make well-informed and effective decisions. (KLO2)

Professional Ethics

1. Adhering to codes of ethics and professional conduct (e.g., CFA Institute Standards)
2. Continuing education and professional development in ethics and integrity
3. Promoting ethical behavior through industry associations and self-regulation

University Commitment

1. Robust academic integrity policies and Honor Code for students
2. Ethics integrated across finance curriculum, including dedicated courses.
3. Student organizations and events focused on ethical leadership.
4. Faculty mentorship in upholding the highest ethical standards.
5. Raising community awareness on ethical financial practices.

Contact

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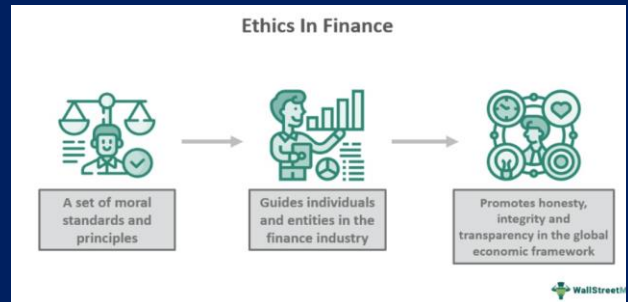
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Ethics in Practice

1. Ethical decision-making frameworks and real-world case study analyses.
2. Understanding regulatory compliance, corporate governance, and risk management.
3. Applying ethical principles to financial scenarios and dilemmas.
4. Developing ethical leadership skills and organizational culture of integrity.



The Importance of Business Ethics in Finance

