هيئة تقويم التعليم والتدريب
Education & Training Evaluation Commission

2023
TP-153

Course Specification
(Bachelor)

Course Title:	PERSONAL FINANCE AND WEALTH MANAGEMENT
Course Code:	FIN-453
Program:	BSC. FINANCE
Department:	DEPARTMENT OF ECONOMICS & FINANCE
College:	COLLEGE OF BUSINESS ADMINISTRATION
Institution:	UNIVERSITY OF HA'IL
Version:	Version theree
Last Revision Date:	7-02-2024

Table of Contents

A. General information about the course:

1. Course Identification

1. Credit hours: (03)					
2. Course type					
A.	☐University	☐College	☒ Department	☐Track	☐Others
B.	☐ Required		☒ Elective		
3. Level/year at which this course is offered: (8th / Fourth year)					
4. Course general Description:					
This course deals with advanced concepts related to financial planning and wealth management for high-net-worth clients. The development of comprehensive financial plans and investment policies for both private and institutional clients is discussed, as well as estate planning and business succession planning. Financial issues are considered, from a wealth management perspective.					
5. Pre-requirements for this course (if any)**:**					
FIN-317 & FIN-377					
6. Co-requirements for this course (if any)**:**					
None					
7. Course Main Objective(s):					
This course introduces candidates to the process of designing and executing an investment plan or strategy for the individual investor and their wealth management. It discusses the tools and techniques used by private wealth managers and how the wealth manager interacts with the client to serve the client's needs.					

2. Teaching mode (mark all that apply)

No	Mode of Instruction	Contact Hours	Percentage
1	Traditional classroom	45	100%
2	E-learning	0	0%
3	Hybrid • Traditional classroom	0	0%

No	Mode of Instruction	Contact Hours	Percentage
	• E-learning		
4	Distance learning	0	0%

3. Contact Hours (based on the academic semester)

No	Activity	Contact Hours
1.	**Lectures**	45
2.	**Laboratory/Studio**	0
3.	**Field**	0
4.	**Tutorial**	0
5.	**Others (specify)**	0
Total		**45**

B. Course Learning Outcomes (CLOs), Teaching Strategies and Assessment Methods

Code	Course Learning Outcomes	Code of CLOs aligned with program	Teaching Strategies	Assessment Methods
1.0	**Knowledge and understanding**			
1.1	*LIST* the psychology of personal and family wealth investment concern.	**K2**	Lecture Brainstorming	**Direct:** Mid & final exam Discussion Quiz (short exam) **Indirect:** Course evaluation survey
2.0	**Skills**			
2.1	*ANALYSE* various clients wealth objectives and goals.	**S2**	Discussion Brainstorming Problem solving	**Direct:** Mid-term exam Discussion Final Exam **Indirect:** Course evaluation survey

Code	Course Learning Outcomes	Code of CLOs aligned with program	Teaching Strategies	Assessment Methods
2.2	*SOLVE* the strategic investment policy and its constraints.	**S2**	Cooperative learning Problem solving Case study	**Direct:** Discussion Final exam Mid-term exam **Indirect:** Course evaluation survey
2.3	*EXPLAIN* the wealth transfer and estate planning.	**S4**	Lecture Cooperative learning Case study	**Direct:** Mid-term exam Final Exam Discussion **Indirect:** Course evaluation survey
2.4	*EVALUATE* the wealth transfer and estate planning methods and strategies	**S4**	Lecture Cooperative learning Problem solving	**Direct:** Mid-term exam Final Exam Quiz (short exam) **Indirect:** Course evaluation survey
3	**VALUES**			
3.1	*Demonstrate* the self-learning for personal finance and wealth management.	**V1**	Discussion Self-learning	**Direct:** Report /case study on extra-curricular activity **Indirect:** Course evaluation survey
3.2	*Show* the team work to examine various financial instruments	**V2**	Presentation Discussion Cooperative learning	**Direct:** Report on research project or presentation **Indirect:** Course evaluation survey

C. Course Content

No	List of Topics	Contact Hours
1.	Chapter 1. Introduction to basics of personal finance	3
2.	Chapter 2. Managing personal finance and assets	6
3.	Chapter 3. Management of individual / family investor portfolio	3
4.	Chapter 4. Analysis of individual / family clients	3
5.	Chapter 5: Institutions serving the individual / family clients	3
6.	Chapter 6. Strategic assets and investment policy for personal wealth management	6
7.	Chapter 7. Constraints to achieve wealth management goals	3
8.	Chapter 8. Risk exposure to various investment assets	3
9.	Chapter 9: Management of personal trusts	6
10.	Chapter 10: Wealth transfer and estate planning	6
Total		**45**

D. Students Assessment Activities

No	Assessment Activities *	Assessment timing (in week no)	Percentage of Total Assessment Score
1.	Short exam (Quiz 1 & 2)	7th & 13th	10%
2.	Mid term	8th	30%
3.	Report on activities / discussion questions	3rd, 6th, 10th	5%
4.	Participation in extra-curricular activities	3rd -14th	5%
5.	Final exam	16th	50%

*Assessment Activities (i.e., Written test, oral test, oral presentation, group project, essay, etc.).

E. Learning Resources and Facilities

1. References and Learning Resources

Essential References	Jack Kapoor, Les Dlabay, Robert J. Hughes and Melissa “Personal fiannce”, 14th Edition, 2023, Mc Graw Hill. CFA institute “ Overview of private wealth management”.
Supportive References	Harold Evensky (Author), Stephen M. Horan (Author), Thomas R. Robinson (Author), The New Wealth Management: The Financial Advisor's Guide to Managing and Investing Client Assets 1st Edition
Electronic Materials	
Other Learning Materials	Saudi Digital Library, Electronic library , University of Hail

2. Required Facilities and equipment

Items	Resources
facilities (Classrooms, laboratories, exhibition rooms, simulation rooms, etc.)	Classroom
Technology equipment (projector, smart board, software)	Projector, smart board
Other equipment (depending on the nature of the specialty)	

F. Assessment of Course Quality

Assessment Areas/Issues	Assessor	Assessment Methods
Effectiveness of teaching	Chairperson & Peers (PAAAP) Class Observation (using class observation form) Students Course Evaluation Survey (CES)	Direct Indirect
Effectiveness of Students assessment	Reviewer (faculty from the same department) Reviewing the exam paper Co-signing the grades. Unified Mid-term/Final Exams	Direct
Quality of learning resources	Instructor (Course Report). Students Course Evaluation Survey (CES)	Direct Indirect
The extent to which CLOs have been achieved	Instructor Direct Method (CLOs Assessment Form for all assessment. CLOs assessment survey by students	Direct Indirect
Other		

Assessors (Students, Faculty, Program Leaders, Peer Reviewer, Others (specify)

Assessment Methods (Direct, Indirect)

G. Specification Approval

COUNCIL /COMMITTEE	DEPARTMENT COUNCIL
REFERENCE NO.	
DATE	12/11/2023

المملكـة العربيـة السعوديـة
وزارة التـعـليـم
جـامـعـة حـائـل
كلية ادارة الأعمال
قسم الاقتصاد والتمويل

التاريخ:
1445/04/28 هـ
2023/11/12 م
الموضوع:
محضر مجلس قسم الاقتصاد والتمويل الثالث
للعام الأكاديمي 1444/ 1445 هـ

رقم الموضوع (1)

الموضوع	كافة توصيف المقررات لبرنامج المالية على النموذج المحدث للمركز الوطني للتقويم والاعتماد الوطني 2023 **Course Specifications of finance program On the updated form of the National Center for National Evaluation and Accreditation 2023**	
البرنامج	التمويل	Finance
التصنيف	شؤون الجودة	Quality affairs

- **مناقشة مجلس القسم:**

ناقش مجلس القسم كافة توصيف المقررات لبرنامج المالية على النموذج المحدث للمركز الوطني للتقويم والاعتماد الوطني 2023. وذلك حسب التقرير المرفق.

The department council discussed on course specifications of finance program on the updated form of the National Center for National Evaluation and Accreditation 2023. As per the attached report.

- **توصية مجلس القسم:**

يوصي مجلس القسم **بالموافقة** على اعتماد كافة توصيف المقررات لبرنامج المالية على النموذج المحدث للمركز الوطني للتقويم والاعتماد الوطني 2023

The department council recommended approval of course specifications of finance program on the updated form of the National Center for National Evaluation and Accreditation 2023

- **مصادقة رئيس القسم / د. عبدالحميد الشمري** التوقيع:

المملكـة العربيـة السعوديـة
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- مصادقة أعضاء مجلس القسم على الموضوع (1)

الموضوع رقم	1	اعتماد موضوع/ توصيف المقررات 2023 Approval of / course specifications- 2023	التوصية بالموافقة على توصيف المقررات 2023 Agree for / course specifications -2023

الرقم	Name الاسم	الرقم الوظيفي UOH ID	التصويت Vote: موافق	التصويت Vote: غير موافق	التوقيع Signature
1	رئيس قسم الاقتصاد والتمويل د. عبدالحميد فرحان الشمري	2339580	✓		
2	د. عبدالكريم احمد عامر	7030662	✓		
3	د. محمد سيف الإسلام	7170032	✓		
4	د. إبراهيم عبد الرسول	7190188	✓		
5	د. فخري عالم	7150353	✓		
6	د. رايس أحمد	7151492	✓		
7	د. ثاقب منير	7170065	✓		
8	د. مظهر حسين	7190419	✓		
9	د. طاهر أختر	7190412	✓		
10	د. شعيب خان	7180225	✓		
11	د. كوثر حمراني	7151012	✓		
12	د. ريجيس أرونا	7152432	✓		
13	د. عواطف الوحيشي	7190204	✓		
14	د. حبيب حسين خان	7190652	✓		
15	د. هاله الغزالي	7180506	✓		
16	د. وليد سعود الغصاب	2360650	✓		
17	د. سليمان عبدالله	7170062	✓		
18	د. وليد يوسف الشاعر	2340610			