



Course Specification

— (Bachelor)

Course Title: **Management of Financial Institutions**

Course Code: **FIN 324**

Program: **BSC. Finance**

Department: **Department of Economics & Finance**

College: **College of Business Administration**

Institution: **University of Ha'il**

Version: **Version Three**

Last Revision Date: **05/10/2023**



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A. General information about the course:

1. Course Identification

1. Credit hours: (3 Hrs.)

2. Course type

- A. ☐ University ☐ College ☒ Department ☐ Track ☐ Others
- B. ☒ Required ☐ Elective

3. Level/year at which this course is offered: (6th /3rd Year)

4. Course general Description:

This course introduces the management of financial institutions and intermediaries. The course focuses on the importance of ensuring good organizational functioning within institutions to manage the varied types of risk that they may be exposed to. Students are first introduced to the construction of the firm as a legal entity, and how financial institutions have specific requirements that relate to this. The course then examines the principles of the theory and practice of defining and measuring various types of risk these institutions can be exposed to, and effective policies for successful risk management. Students are also introduced to international standards of banking practice and how they impact the functioning of the institutions.

5. Pre-requirements for this course (if any):

FIN 211

6. Co-requirements for this course (if any):

None

7. Course Main Objective(s):

The course presents both theoretical and practical aspects of specificities of financial institutions. It exposes students to understand how these institutions integrate into overall system of capital creation and risk management.

2. Teaching mode (mark all that apply)

No	Mode of Instruction	Contact Hours	Percentage
1	Traditional classroom	45	100%
2	E-learning	0	0%
3	Hybrid <ul style="list-style-type: none"> Traditional classroom E-learning 		
4	Distance learning	0	0%



3. Contact Hours (based on the academic semester)

No	Activity	Contact Hours
1.	Lectures	45
2.	Laboratory/Studio	0
3.	Field	0
4.	Tutorial	0
5.	Others (specify)	0
Total		45

B. Course Learning Outcomes (CLOs), Teaching Strategies and Assessment Methods

Code	Course Learning Outcomes	Code of CLOs aligned with program	Teaching Strategies	Assessment Methods
1.0	Knowledge and understanding			
1.1	Recognizing mainstream financial markets, institutional participants, various financial instruments, and their associated management policies.	K3	Lecture, Discussion	Direct Methods: Quiz Midterm Exam Final Exam Indirect methods: Course Evaluation Surveys by Students
1.2	Understanding the operations of various financial institutions, including securities firms, investment banks, mutual funds, hedge funds, and finance companies.	K3	Lecture, Discussion, Problem Solving	Direct Methods: Midterm Exam Final Exam Indirect methods: Course Evaluation Surveys by Students
...				
2.0	Skills			



Code	Course Learning Outcomes	Code of CLOs aligned with program	Teaching Strategies	Assessment Methods
2.1	Anticipating and forecasting potential uncertainties (various risks) related to operations of financial institutions.	S2	Lecture, Discussion	Direct Methods: Midterm Exam Final Exam Indirect methods: Course Evaluation Surveys by Students
2.2	Analyzing operations of financial institutions using financial reports.	S3	Lecture, Discussion, Problem Solving	Direct Methods: Quiz, Homework, Midterm Exam Final Exam Indirect methods: Course Evaluation Surveys by Students
2.3	Communicating financial information by practicing clear and concise reporting, mastering financial terminology, and honing their presentation skills.	S4	Lecture, Discussion, Problem Solving	Direct Methods: Midterm Exam Final Exam Indirect methods: Course Evaluation Surveys by Students
3.0	Values, autonomy, and responsibility			
3.1	Demonstrate collaboration, ethics, social responsibility, self-awareness, leadership, and openness when working in teams (groups).	V1	Cooperative Learning, Case Study, Problem Solving	Direct Methods: Group Report, Discussion Indirect methods: Course





Code	Course Learning Outcomes	Code of CLOs aligned with program	Teaching Strategies	Assessment Methods
				Evaluation Surveys by Students
3.2				
...				

C. Course Content

No	List of Topics	Contact Hours
1	Chapter 1: Introduction to Financial Institutions, Functions of Financial Intermediaries, Types and Regulations	3
2	Chapter 2: Depository Institutions	6
3	Chapter 3: Insurance Companies	3
4	Chapter 4: Securities Firm and Investment Banks	6
5	Chapter 5: Mutual Funds and Hedge Funds	6
6	Chapter 6: Finance Companies	6
7	Chapter 7: Risks of Financial Intermediation	9
8	Chapter 8: Measuring and Managing Risk	6
Total		45

D. Students Assessment Activities

No	Assessment Activities *	Assessment timing (in week no)	Percentage of Total Assessment Score
1.	Quizzes Homework Group Report and Discussion	3 rd , 5 th , and 7 th	20%
2.	Midterm Exam	8 th	30%
3.	Final Exam	16 th	50%
...			

*Assessment Activities (i.e., Written test, oral test, oral presentation, group project, essay, etc.).

E. Learning Resources and Facilities

1. References and Learning Resources

Essential References	Recommended Textbook: Financial Markets and Institutions, Global Edition, 9th edition by Frederic S Mishkin and Stanley Eakins
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Supportive References	Secondary Textbook: Financial Markets and Institutions 11 th Edition by Jeff Madura
Electronic Materials	Library Online Databases of the university http://libsys.uoh.edu.sa:2048/login Blackboard http://www.uoh.edu.sa/MediaCenter/Advertisements/Pages/blackbaord-tutorial.aspx
Other Learning Materials	https://cma.org.sa/en/Pages/default.aspx https://www.sama.gov.sa/en-us/pages/default.aspx http://www.dailyfinance.com http://thomsonreuters.com

2. Required Facilities and equipment

Items	Resources
facilities (Classrooms, laboratories, exhibition rooms, simulation rooms, etc.)	Classrooms, White Board
Technology equipment (projector, smart board, software)	Smart Board, Multimedia Projector
Other equipment (depending on the nature of the specialty)	NA

F. Assessment of Course Quality

Assessment Areas/Issues	Assessor	Assessment Methods
Effectiveness of Teaching	Chairperson & Peers (PAAAP)	Direct
	Class Observation (using class observation form)	
	Students Course Evaluation Survey (CES)	Indirect
Effectiveness of Students' assessment	Reviewer (Faculty from the same department)	Direct
	Reviewing the exam paper	
	Co-signing the grades Unified Midterm/Final Exam	



Assessment Areas/Issues	Assessor	Assessment Methods
Quality of Learning Resources	Instructor (Course Report)	Direct
	Students Course Evaluation Survey (CES)	Indirect
The extent to which CLOs have been achieved	Instructor: CLOs Assessment Form for all assessment methods	Direct
	CLOs Assessment Survey by Students	Indirect
Other		

Assessors (Students, Faculty, Program Leaders, Peer Reviewer, Others (specify))

Assessment Methods (Direct, Indirect)

G. Specification Approval

COUNCIL /COMMITTEE	DEPARTMENT COUNCIL (ECONOMICS & FINANCE DEPARTMENT)
REFERENCE NO.	NO. 3
DATE	12-11-2023

